

## Prognosis of T1ab Node-Negative Human Epidermal Growth Factor 2-Positive Breast Carcinomas

**TO THE EDITOR:** We have read with great interest the article by Fehrenbacher et al about prognosis of T1abN0 human epidermal growth factor 2 (HER2) –positive breast carcinomas.<sup>1</sup> The authors should be congratulated for their significant contribution to this topic with a large cohort of well-followed patients; nonetheless, we would like to discuss their conclusions. Indeed, the authors conclude that patients with T1ab tumors  $\leq 9$  mm have a good prognosis with a low risk of distant recurrence, whereas those with 10-mm tumors have a higher risk. On the basis of these data, the authors strongly suggest that patients with T1abN0 HER2-positive tumors of less than 10 mm should not receive adjuvant trastuzumab (Hoffmann-La Roche, Basel, Switzerland) –based chemotherapy (ATBC).

In our opinion, three main pitfalls may be observed in their data interpretation.<sup>1</sup> First, 20 of the 116 patients in the T1a group had in fact a T1mi tumor (invasive tumor size  $\leq 0.1$  cm). Because unifocal T1miN0 breast carcinomas have a negligible risk of distant recurrence, the distant-recurrence-free interval (DRFI) is probably overestimated in their T1a group. Actually, adjuvant chemotherapy is not discussed in T1miN0 carcinomas in current guidelines.<sup>2</sup> Second, Fehrenbacher et al<sup>1</sup> explored only two prognosis factors: size and estrogen receptor status. Other prognosis factors such as lymphovascular invasion, tumor grade, or mitotic index should have been evaluated in an adjusted analysis. Third, a significant proportion of patients received adjuvant chemotherapy, trastuzumab, or both (17% of T1a cases excluding T1mi, and 40% of T1b cases). By including this treated population in their Kaplan-Meier estimates of DRFI, Fehrenbacher et al presumed a priori that adjuvant chemotherapy and trastuzumab were not effective in preventing distant recurrences. Once again, the DRFI might be overestimated for both T1a and T1b cases.

We have published a large retrospective series of T1abN0 HER2-positive cases with 129 patients treated with ATBC and 123 treated with neither chemotherapy nor trastuzumab.<sup>3,4</sup> Factors retrospectively associated with ATBC prescription were size  $> 5$  mm, estrogen receptor negativity, high Elston-Ellis grade, younger age, and, above all, date of diagnosis after the American Society of Clinical Oncology 2005 Annual Meeting. To compensate for selection bias (patients with higher risk being the ones treated with ATBC), we used a propensity score for adjusted survival analysis.<sup>5</sup> In our analysis, size was not independently associated with prognosis. We then observed in our series a significant 40-month disease-free survival benefit for patients treated with ATBC, mostly in cases without hormone receptor expression and/or with lymphovascular invasion. Of note, several other studies have found similar results.<sup>6-10</sup>

The favorable prognosis of T1abN0 HER2-positive tumors observed in the article by Fehrenbacher et al may merely be due to the integration of T1mi cases and to the use of adjuvant chemotherapy and/or trastuzumab in 27% of patients. We think that size may not be the most important prognosis factor in T1abN0 HER2-positive breast carcinomas and that other prognosis factors should be considered. In our opinion, ATBC should be considered whatever the tumor size in T1abN0 HER2-positive cases with lymphovascular invasion or in case of hormone receptor negativity.

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### AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

Disclosures provided by the authors are available with this article at [www.jco.org](http://www.jco.org).

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